

# Reshaping the contribution of research to agricultural innovation



From dissemination to supporting local innovation at scale



**Fergus Sinclair**

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Bangor University, UK

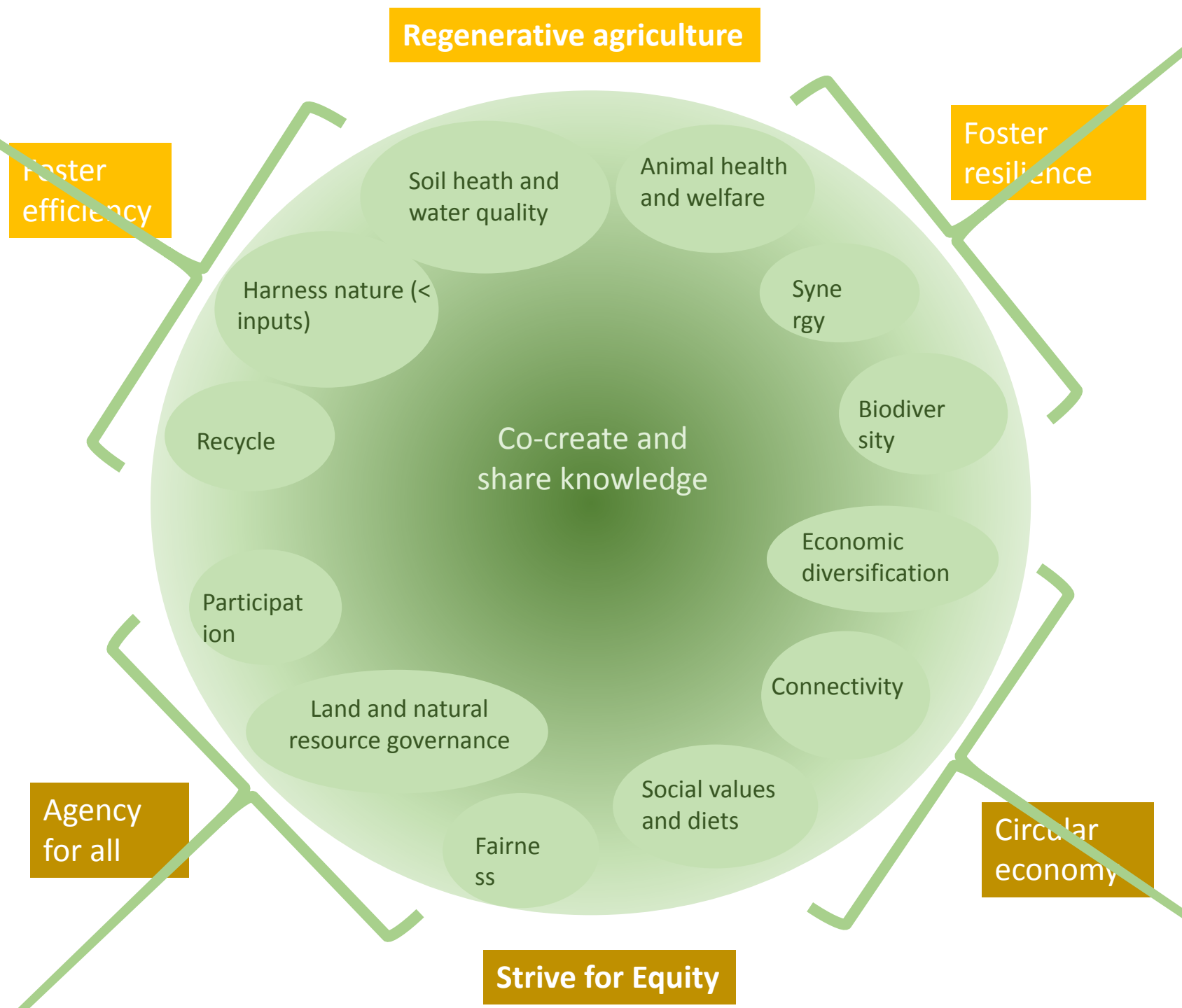
Co-convenor Agroecology Transformative Partnership Platform (TPP), Nairobi,  
Kenya



**Agroecology is an integrated response to global challenges.**

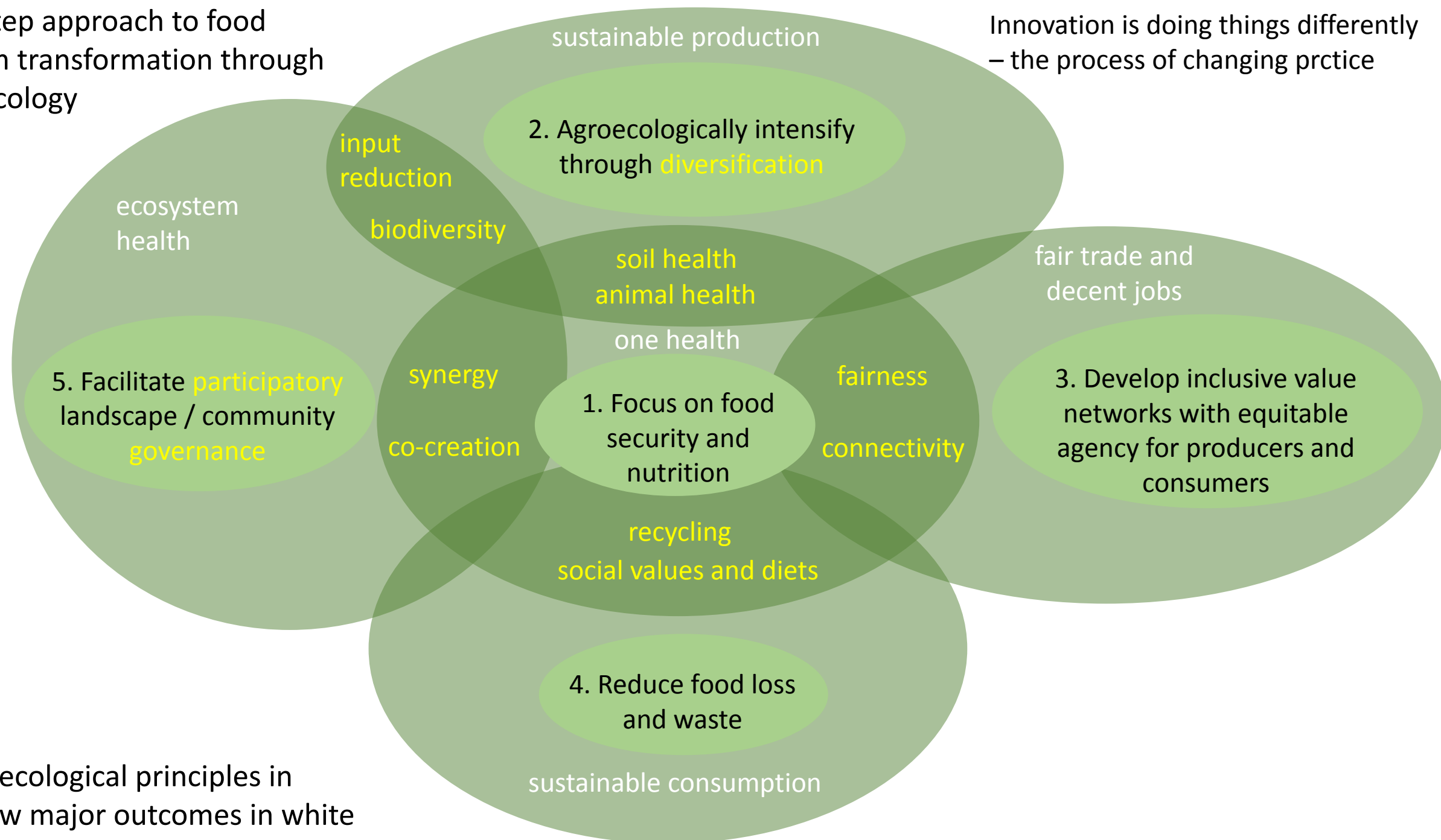
**It involves transforming food systems through local application of the 13 CFS, HLPE (2019) agroecological principles**

Wezel A, Gemmill Herren B, Bezner Kerr R, Barrios E, Gonçalves ALR and Sinclair F (2020). Agroecological principles and elements and their implications for transitioning to sustainable food systems. A review. *Agronomy for Sustainable Development* 40: 40 13pp.  
<https://doi.org/10.1007/s13593-020-00646-z>



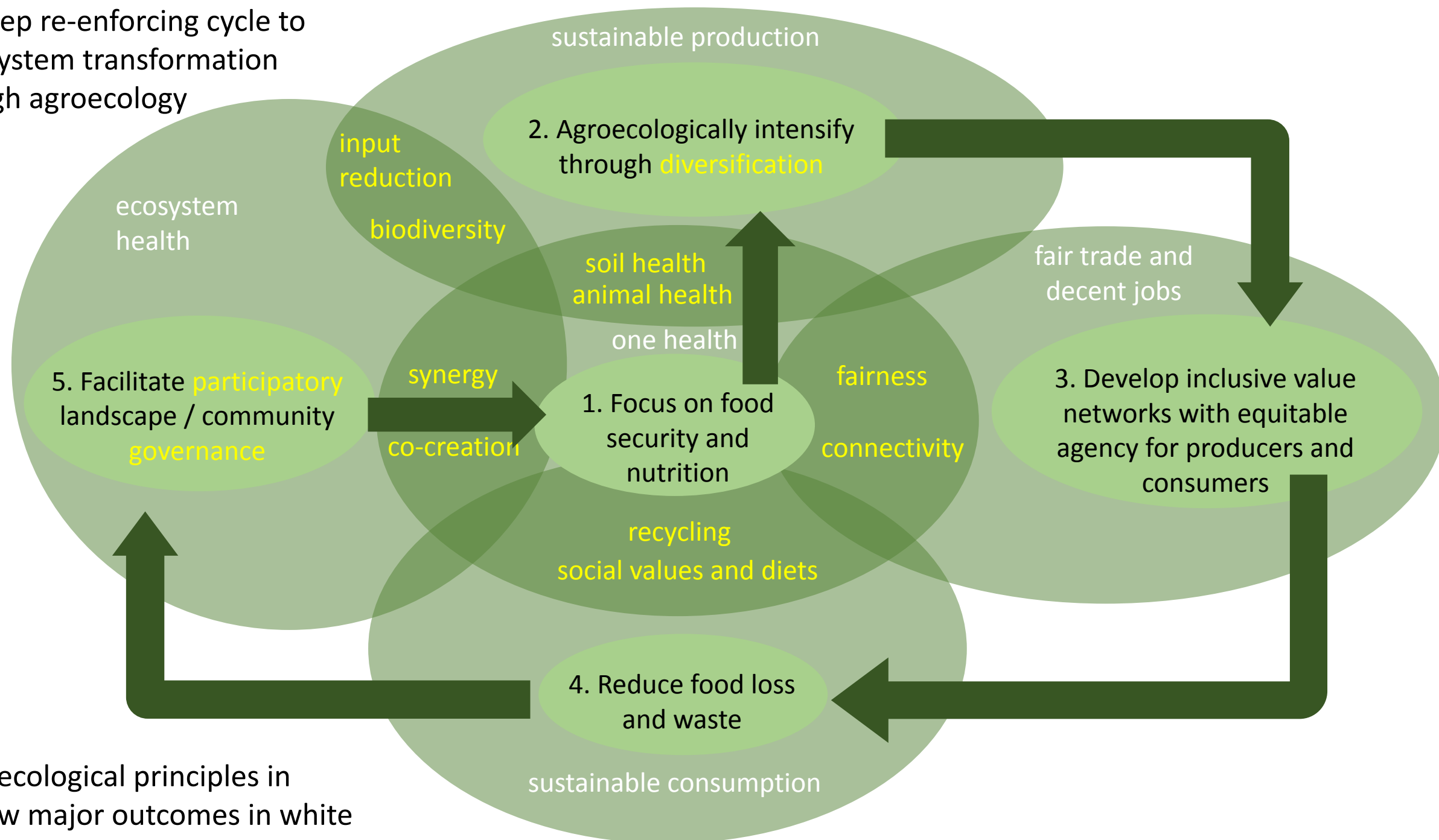
# Five step approach to food system transformation through agroecology

Innovation is doing things differently – the process of changing practice



Agroecological principles in yellow major outcomes in white

Five step re-enforcing cycle to  
food system transformation  
through agroecology



Agroecological principles in  
yellow major outcomes in white

Diagram illustrating the relationship between agroecological principles and major outcomes, structured around five numbered nodes and their interactions.

**Nodes (Major Outcomes):**

1. Focus on food security and nutrition
2. Agroecologically intensify through diversification
3. Develop inclusive value networks with equitable agency for producers and consumers
4. Reduce food loss and waste
5. Facilitate participatory landscape / community governance

**Agroecological Principles (Background Elements):**

- sustainable production
- sustainable consumption
- ecosystem health
- one health
- soil health
- animal health
- biodiversity
- input reduction
- recycling
- social values and diets
- fairness
- connectivity
- fair trade and decent jobs

**Interactions:**

- Main flow of activity (dark arrows):**
  - From Node 1 to Node 2 (labeled **synergy**)
  - From Node 2 to Node 3 (labeled **co-creation**)
  - From Node 3 to Node 4
  - From Node 4 to Node 5
  - From Node 5 to Node 1
- Key re-enforcing interactions (light arrows):**
  - From Node 1 to Node 4
  - From Node 2 to Node 3
  - From Node 3 to Node 1
  - From Node 4 to Node 2

**Legend:**

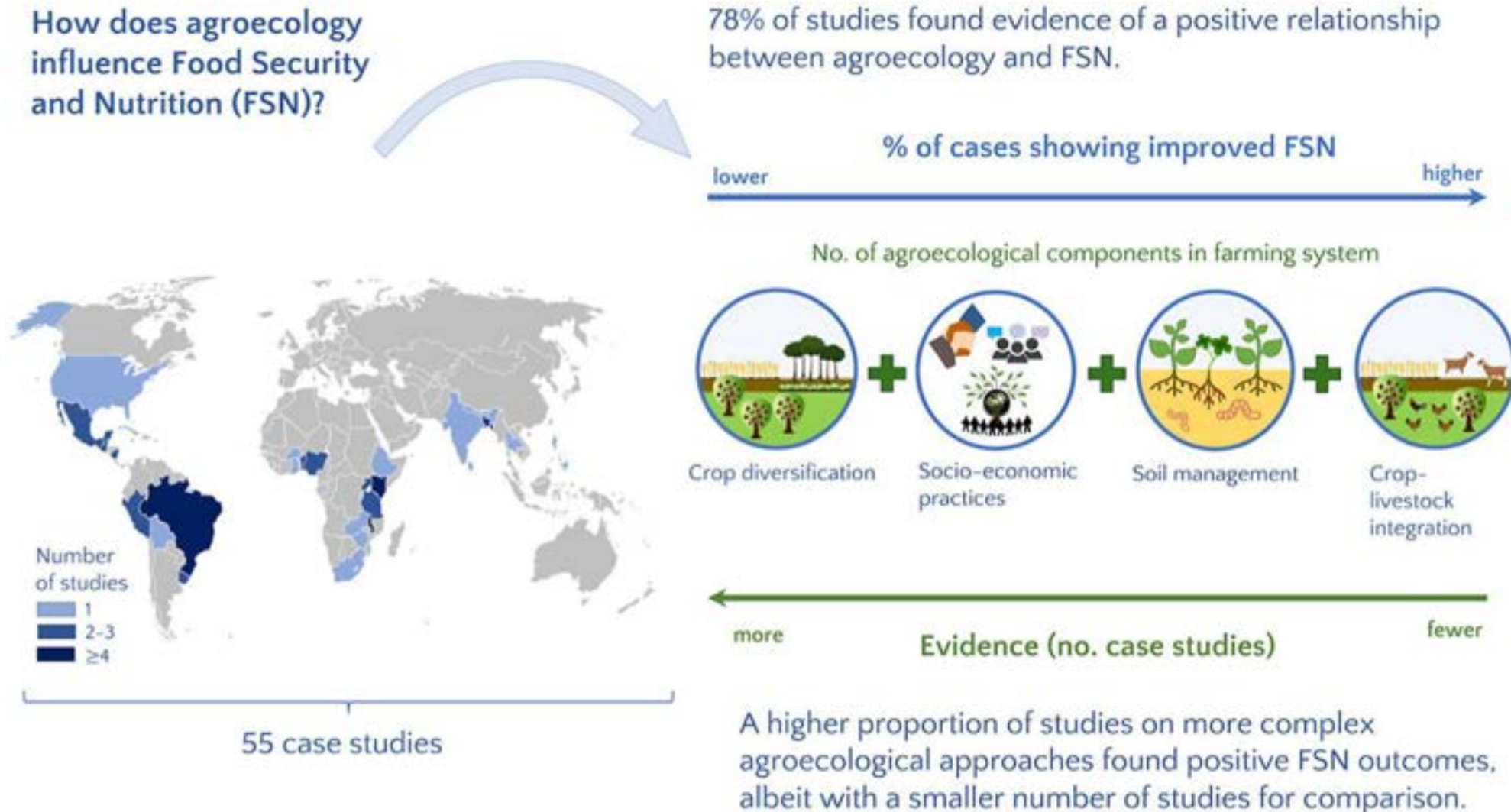
- Dark arrows: Main flow of activity
- Light arrows: Key re-enforcing interactions

ecological principles in  
w major outcomes in white

Main flow of activity (dark arrows) key re-enforcing interactions (light arrows)



# Step 1: Focus on food security and nutrition



# Fertiliser Security for Food Security in Southeast Asia: Going Local and Circular



Southeast Asia is highly dependent on a volatile global supply of synthetic fertilisers.

[https://fulcrum.sg/fertiliser-security-for-food-security-in-southeast-asia-going-local-and-circular/#:~:text=The%20Russia%2DUkraine%20war%20that,respectively%20\(see%20Figure%201\).](https://fulcrum.sg/fertiliser-security-for-food-security-in-southeast-asia-going-local-and-circular/#:~:text=The%20Russia%2DUkraine%20war%20that,respectively%20(see%20Figure%201).)



This blog post is part of a special series on the global and regional food security implications of high food and fertilizer prices during the Ukraine war. The blog series is edited by Johan Swinnen, Managing Director, Systems Transformation, CGIAR, and Director

IFPRI Blog : Issue Post

## High fertilizer prices contribute to rising global food security concerns

APRIL 25, 2022

BY CHARLOTTE HEBERBRAND AND DAVID LABORDE

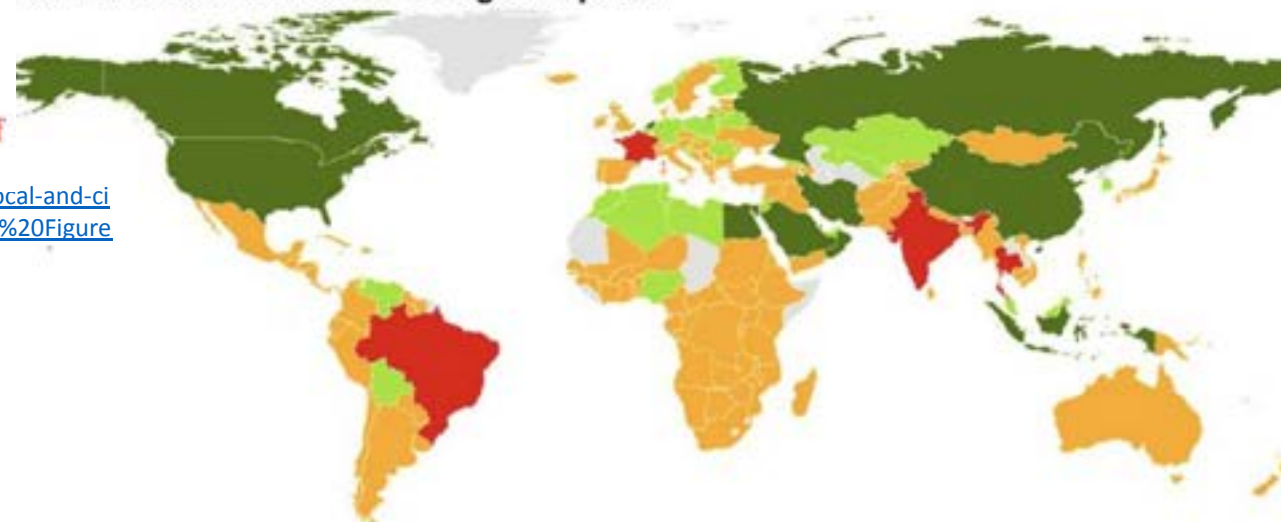
OPEN ACCESS | CC-BY-4.0

Like people, plants need a multitude of nutrients to thrive. These are categorized into micro as zinc and iron; secondary macronutrients; such as calcium and magnesium; and three primary macronutrients: nitrogen (N), phosphorus (P), and potassium (K). Mineral fertilizers provide plant accessible nutrients, while organic minerals importantly also provide carbon, which contributes to healthy soils. While efforts to reduce nutrient losses to the environment must be continued, it bears emphasizing that fertilizers play a crucial role in agricultural productivity.

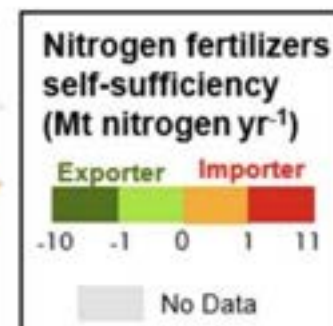
# 1.78 billion people are fed from food reliant on imports of fertilizers or natural gas used to produce them

Global N fertilizer self-sufficiency with and without embedded fossil fuel imports

A: Without embedded natural gas imports



B: With embedded natural gas imports



up to 11 Mt yr<sup>-1</sup>  
Thailand  
Myanmar

upto 1 Mt yr<sup>-1</sup>  
Cambodia  
Vietnam  
Philippines



# Transitioning to biological N fixation means supporting farmer innovation

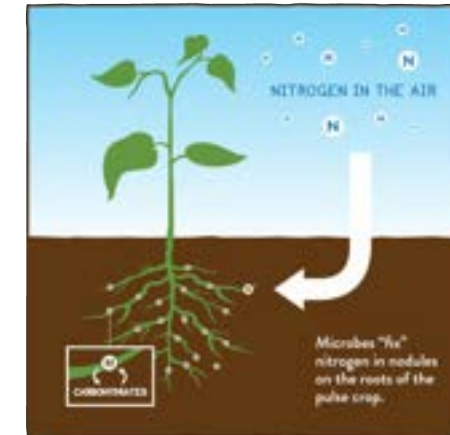
Industrial



Centrally owned and produced, derived from fossil fuel use - high green house gas emissions, distribution costs and challenges, cost and risk to farmers (and governments where subsidized), non-resilient at farm and often national levels, high losses (leakage / pollution) BUT **SIMPLE**

Empower  
smallholder  
farmers

Biological



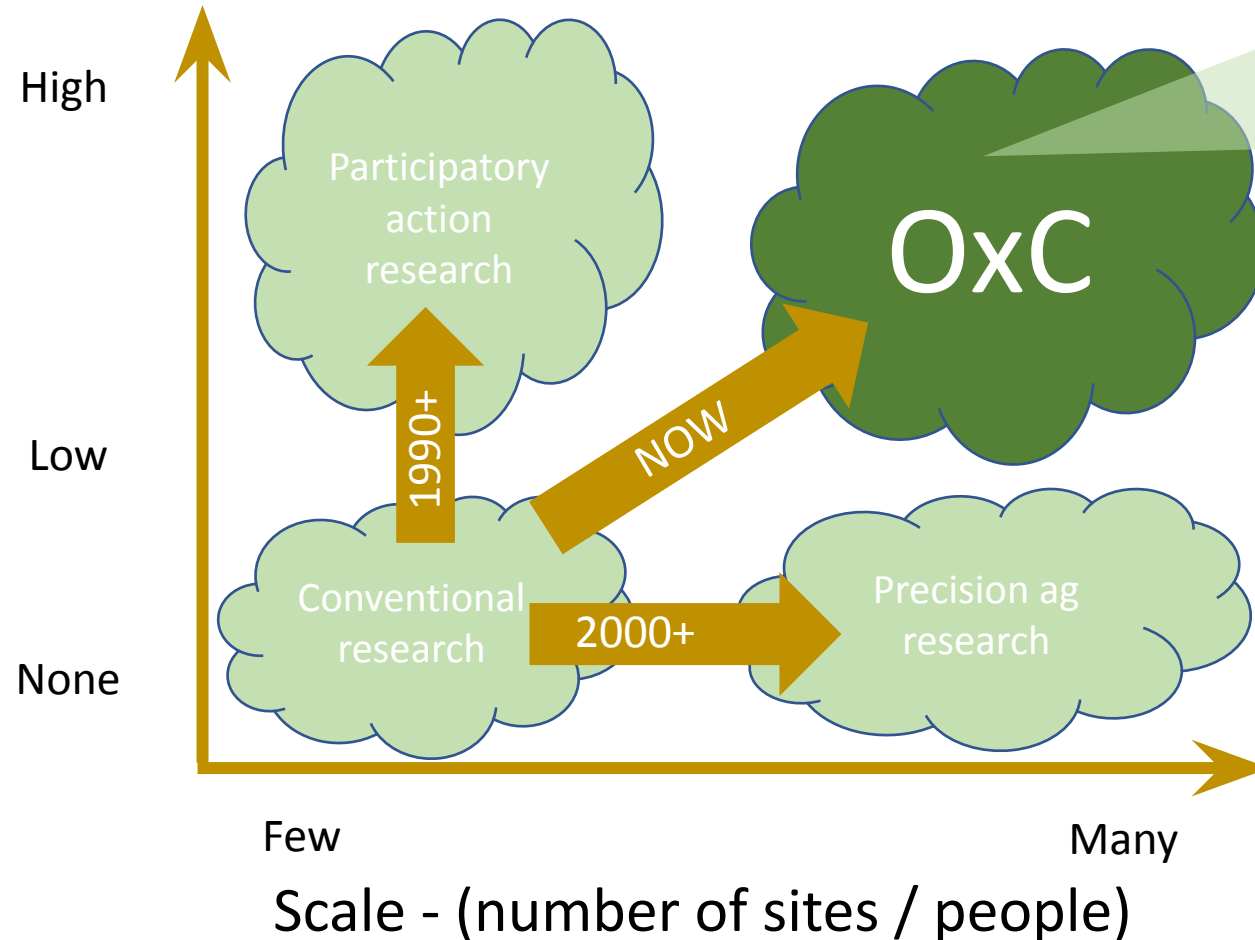
Citizen  
science

Distributed ownership by millions of farmers, derived from solar energy, lower cost and risk to farmers, more resilient at farm and often national levels, less leakage / pollution BUT **COMPLEX and KNOWLEDGE INTENSIVE – REQUIRES SYSTEM CHANGE – SUPPORT for LOCAL INNOVATION** (co-creation and sharing of knowledge)



# Options by context (OxC)

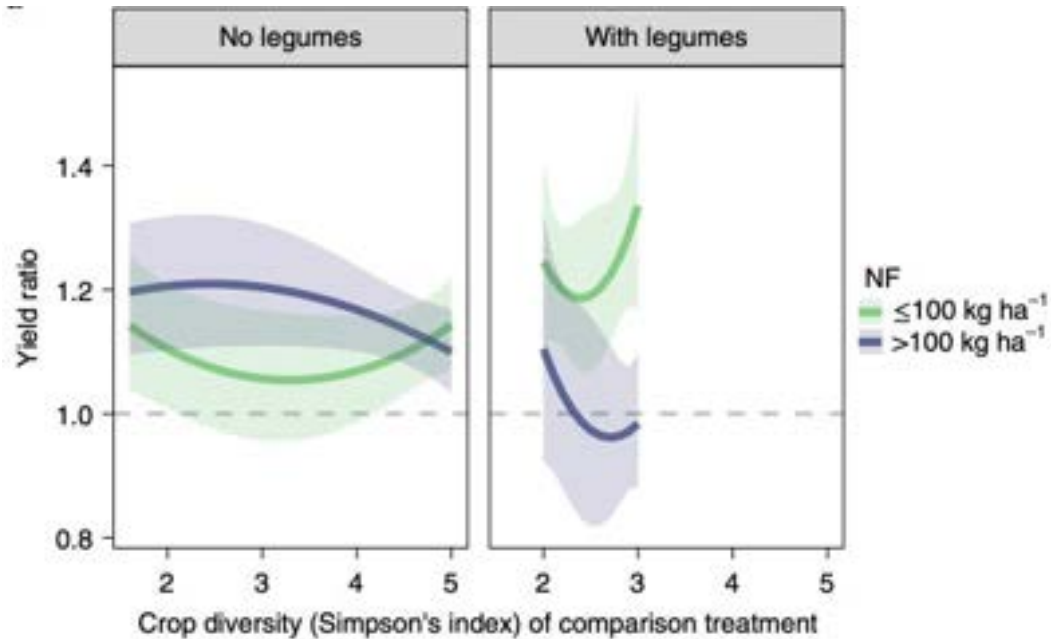
Level of farmer  
(and other food  
system actors)  
participation



Supporting millions of farmers to innovate in real world conditions across many sites, with a range of social, economic and environmental contexts!

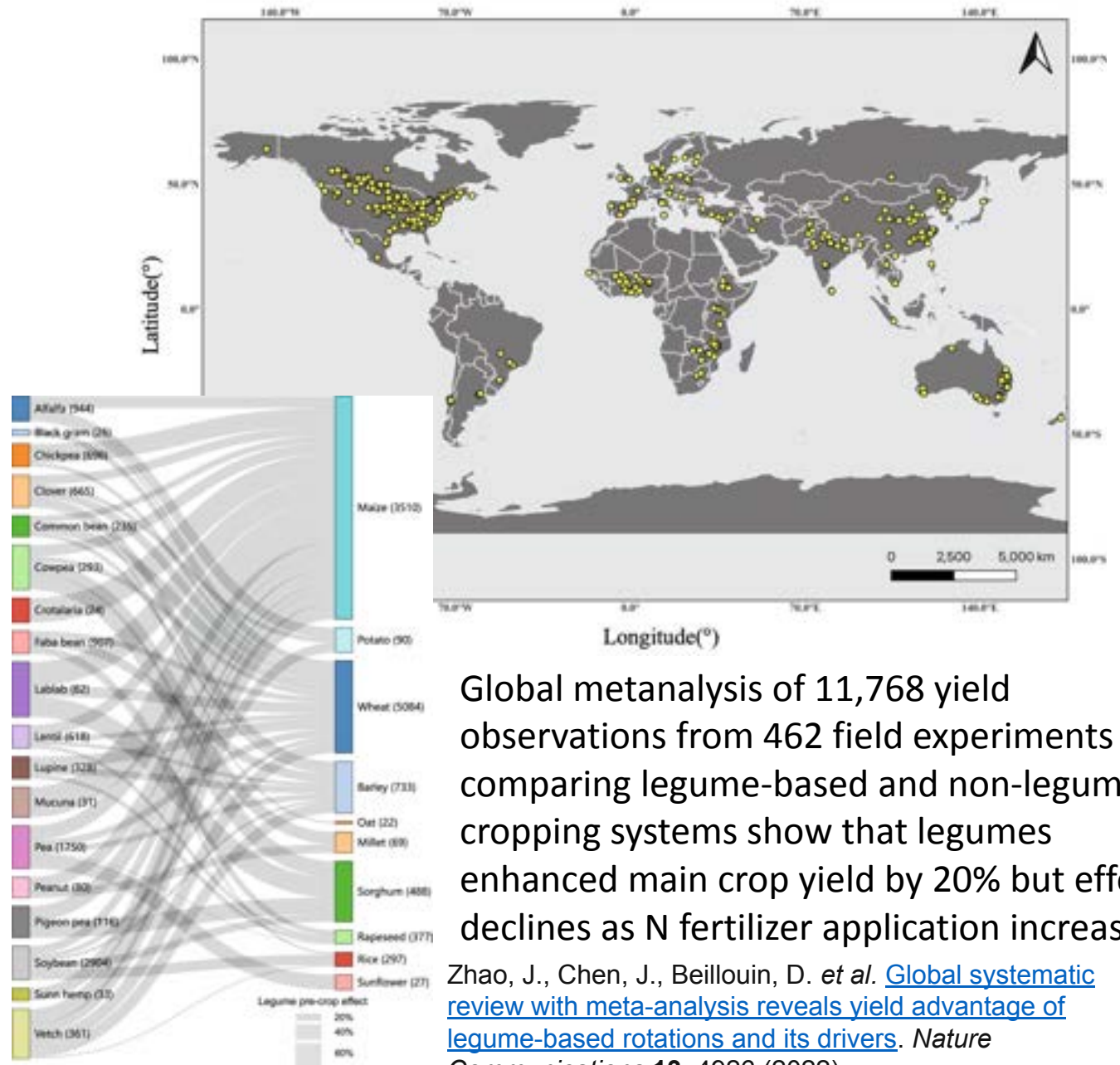
# Step 2 - Diversity and productivity go hand in hand

Crop diversification with legumes substitutes for nitrogen fertiliser on monocultures



Metanalysis of 30 long-term trials (each with at least 9 years data) (>25,000 data points).

MacLaren, C et al., 2022. Long-term evidence for ecological intensification as a pathway to sustainable agriculture. *Nature Sustainability*. <https://www.nature.com/articles/s41893-022-00911-x>



Global metanalysis of 11,768 yield observations from 462 field experiments comparing legume-based and non-legume cropping systems show that legumes enhanced main crop yield by 20% but effect declines as N fertilizer application increases

Zhao, J., Chen, J., Beillouin, D. et al. [Global systematic review with meta-analysis reveals yield advantage of legume-based rotations and its drivers](#). *Nature Communications* 12, 4000 (2022).

## RESEARCH ARTICLE FARMING PRACTICES

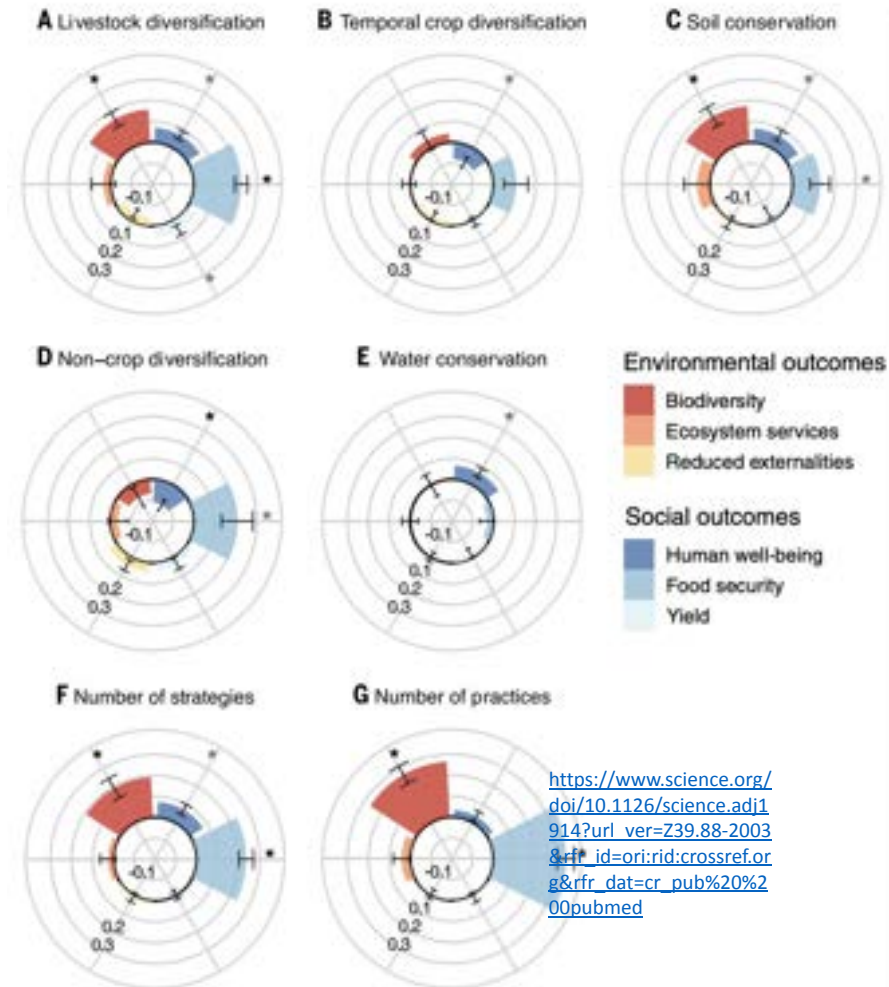


# Joint environmental and social benefits from diversified agriculture

YUANYUAN SHANGHAI WU GUO ZHANG WEN GUO H. SHI BAO H. WU JIN H. SHI

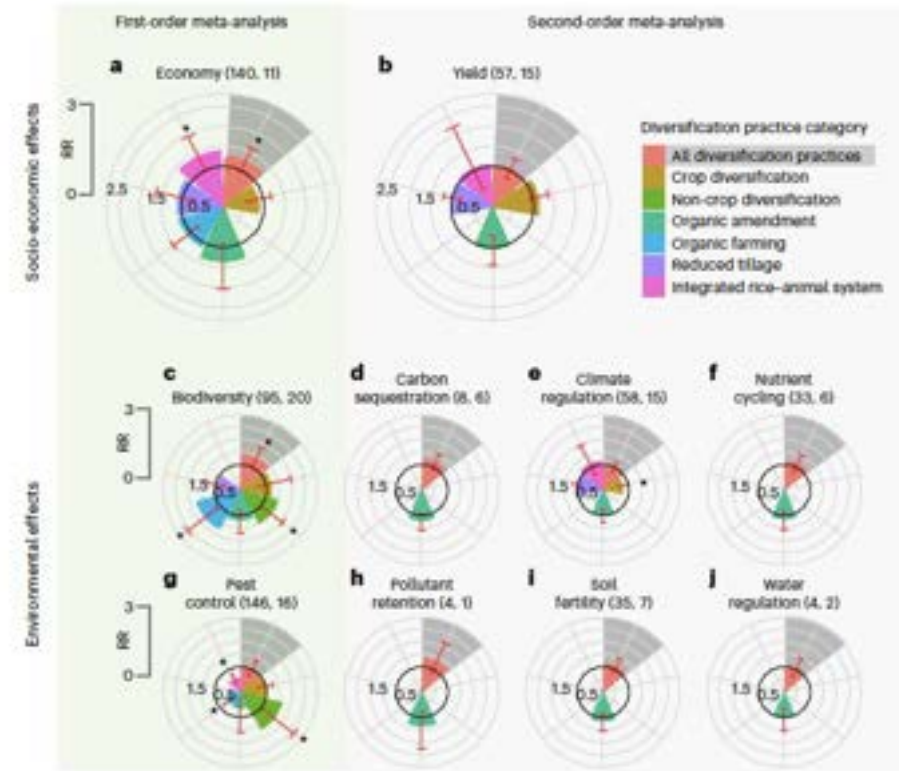
GUANGLIANG SHANGHAI WANG H. SHI JIN H. SHI Z. L. WU J. H. SHI [+49 authors](#) [Authors Info & Affiliations](#)

SCIENCE • 4 July 2024 • VOL 344, ISSUE 6171 • PP. 6178 • DOI:10.1126/science.adf1934



[https://www.science.org/doi/10.1126/science.adf1914?url\\_ver=Z39.88-2003&rft\\_id=ori:rid:crossref.org&rft\\_dat=cr\\_pub%20200pubmed](https://www.science.org/doi/10.1126/science.adf1914?url_ver=Z39.88-2003&rft_id=ori:rid:crossref.org&rft_dat=cr_pub%20200pubmed)

FIELD



Agricultural diversification in rice production increased biodiversity 40%, improved economy by 26% and reduced crop damage by 31% promoting win-win scenarios between yield and other ecosystem services in 81% of cases.

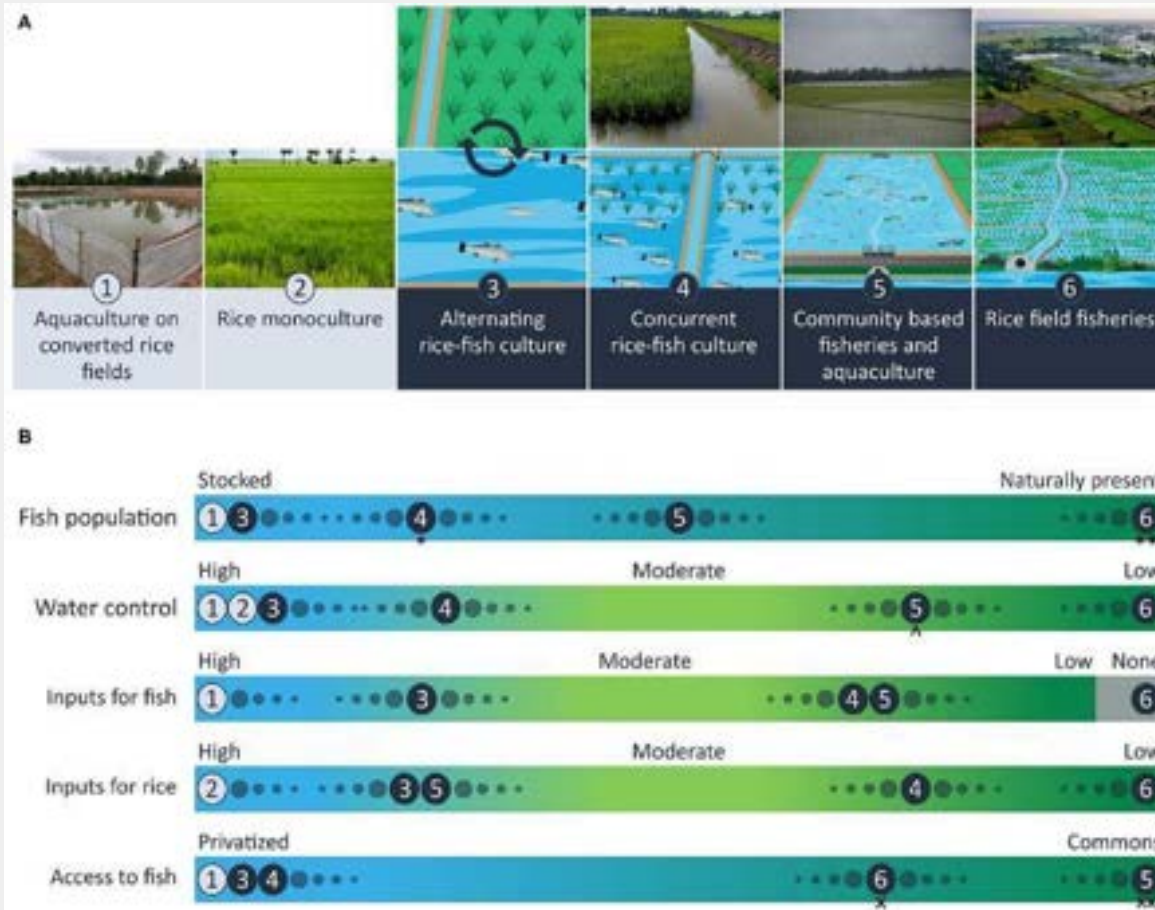
He, X., Batary, F., Zou, Y. et al. Agricultural diversification promotes sustainable and resilient global rice production. *Nature Food* **4**, 788–796 (2023).

<https://doi.org/10.1038/s43016-023-00836-4>

FARM



# Lots of rich examples at different scales (from field to food system) showing value of integrated systems



## Diversified rice production systems:

- higher annual net income because of lower production costs for agrochemicals and high yields of rice and fish.
- low use of pesticides and fertilizers create less impacts on the environment, allows for higher biodiversity, stimulating a more efficient circulation of nutrients and natural control mechanisms of rice pests.
- socially less pesticides, a diversified production of crops and increased income improved farmers' health and wellbeing.

Berg et al., 2023. An ecological economic comparison between integrated rice-fish farming and rice monocultures with low and high dikes in the Mekong Delta, Vietnam. *Ambio*  
<https://doi.org/10.1007/s13280-023-01864-x>

Freed S, et al., 2020. [Maintaining diversity of integrated rice and fish production confers adaptability of food systems to global change](#). *Frontiers in Sustainable Food Systems* 4(2020).

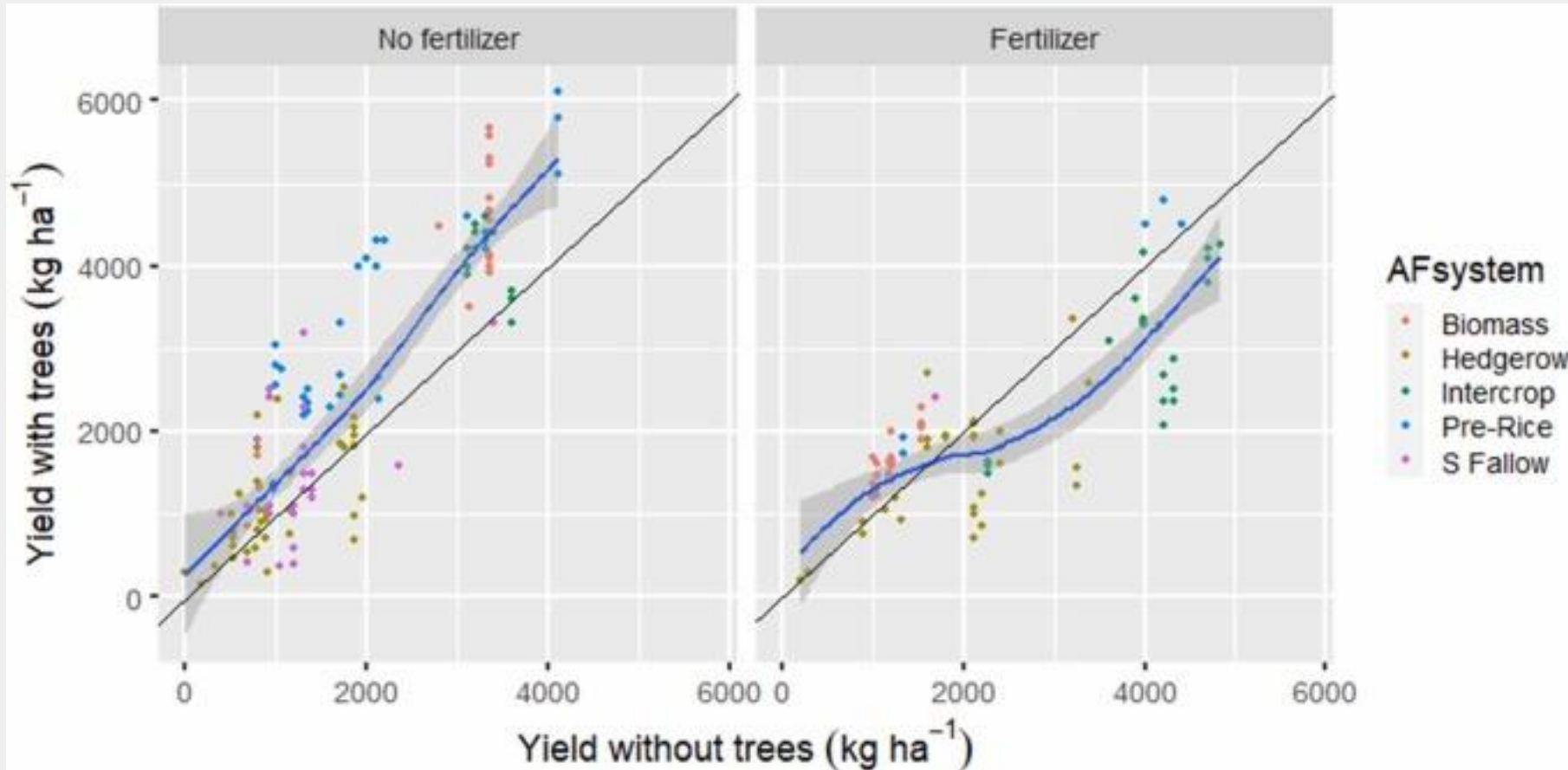


<https://www.worldagroforestry.org/blog/2020/11/16/agroecology-whets-global-appetite-rice-and-fish>



# Global analysis of impacts of integrating trees with rice production

<https://glfx.globallandscapesforum.org/topics/21467/news/695616>

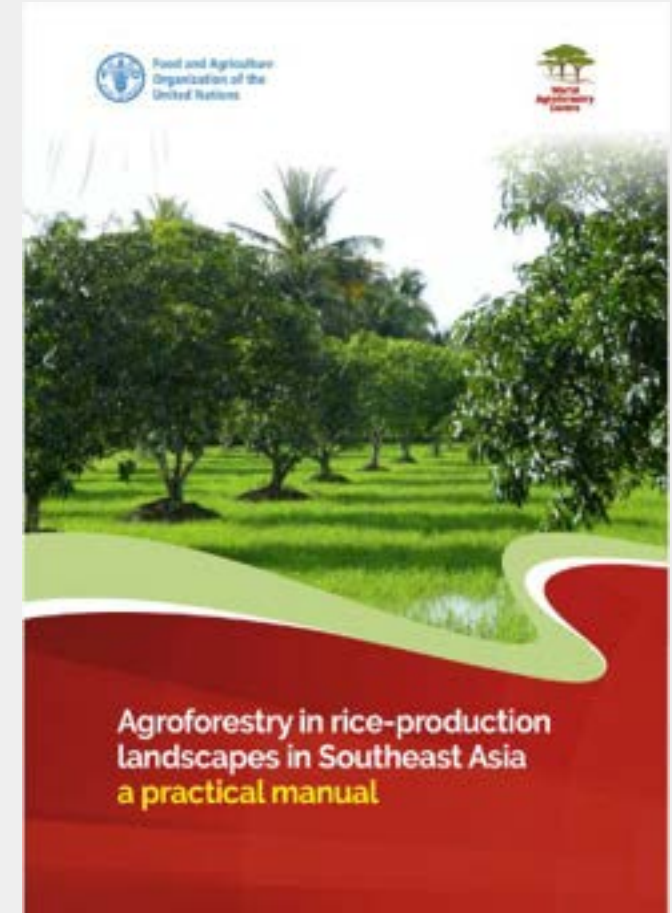


# Rice agroforestry in practice



According to Rodenburg et al. (2022):

- Based on a review of 87 publications, 204 woody perennial species were used in various rice agroforestry practices worldwide.
- 6 types of rice agroforestry practices: (1) hedgerow alley-cropping, (2) short-term (0.5–4 years) improved fallows, (3) pre-rice green manuring, (4) biomass transfer, (5) systematically arranged rice–tree intercropping and (6) irregularly dispersed trees in fields.
- Main benefits of integrating trees with rice: (1) fertiliser is provided through biological nitrogen fixation or organic inputs, (2) pests, diseases and weeds are controlled through vegetation structure, rotation, mulching or the use of biopesticides, (3) higher resilience to climate threats (e.g., heat, strong wind).



Wangpakapattanawong P, Finlayson R, Öborn I, Roshetko JM, Sinclair F, Shono K, Borelli S, Hillbrand A, Conigliaro M. 2017. [Agroforestry in rice-production landscapes in Southeast Asia: a practical manual](https://worldagroforestry.org/blog/2017/04/26/helping-rice-farmers-grow-trees-adapting-climate-change). Food and Agriculture Organization of the United Nations Regional Office for Asia and the Pacific, Bangkok, Thailand

<https://worldagroforestry.org/blog/2017/04/26/helping-rice-farmers-grow-trees-adapting-climate-change>



# From traditional 'sonor' farming system to climate smart agro-silvo-fishery. South Sumatra

<https://www.worldagroforestry.org/blog/2022/07/18/more-rice-and-no-fire-degraded-peatland-indonesia>

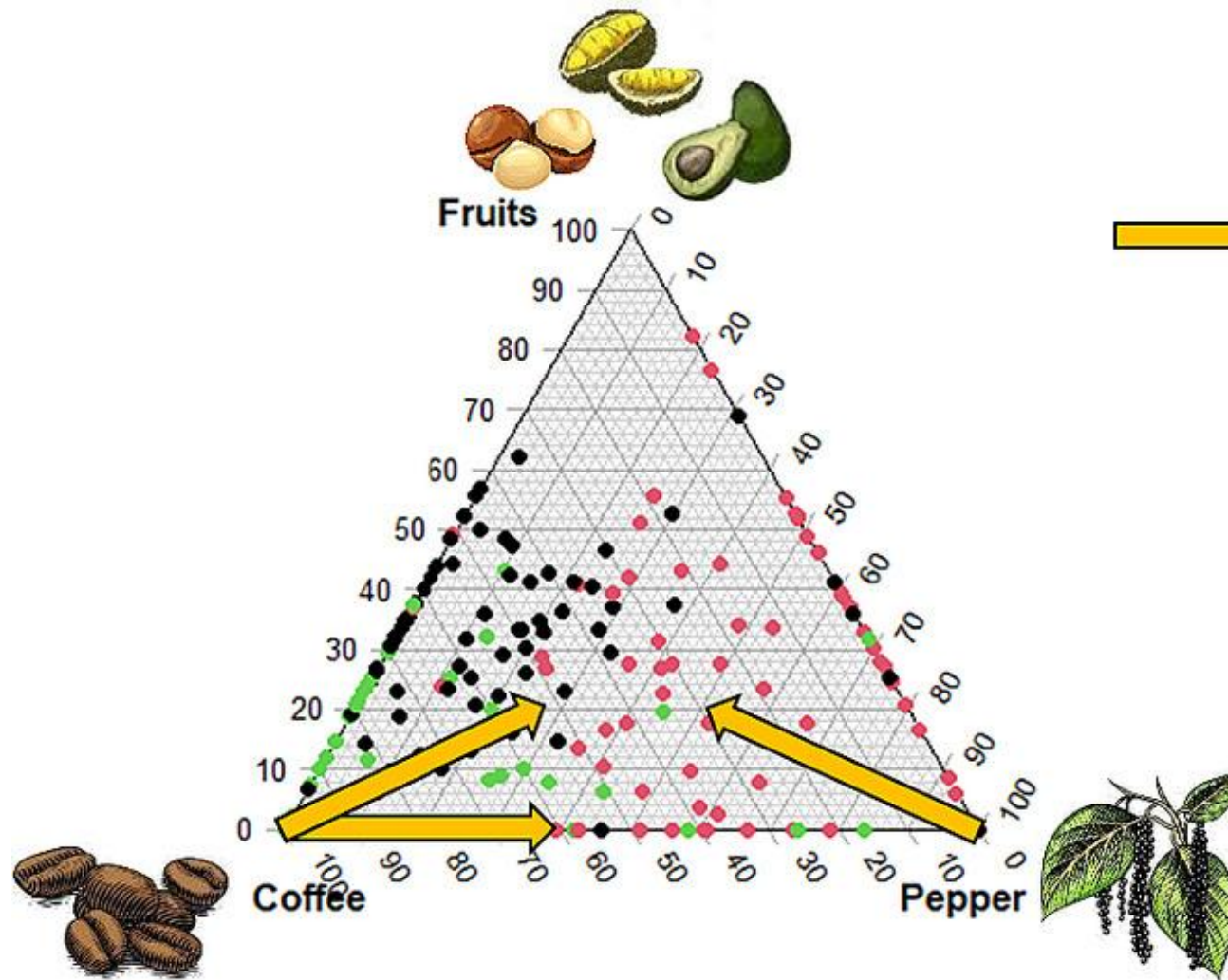


Quick and easy: BUT wide range of environmental, social, economic, and political impacts



No burning: growing rice with various timber, fruit and fish species [MORE FOOD, BIOMASS, NO FIRE]





➡ Ongoing transition from monoculture to mixed cropping systems resulting in :

↗ Planting density (+33-71%)

↗ Gross margin (+30-158%)

↗ Economic resilience

Rigal Clément, Duong Tuan, Vo Cuong, Bon Le Van, Hoang quốc Trung, Chau Thi Minh Long, [Transitioning from Monoculture to Mixed Cropping Systems: The Case of Coffee, Pepper, and Fruit Trees in Vietnam](#), *Ecological Economics*, Volume 214, 2023.

Nguyen, M.P.; Vaast, P.; Pagella, T.; Sinclair, F. (2020). Local Knowledge about Ecosystem Services Provided by Trees in Coffee Agroforestry Practices in Northwest Vietnam. *Land*, 9 (12): 486. <https://doi.org/10.3390/land9120486>



Farmers apply surplus nutrients even on mixed systems and .... the higher the prices of their products the more they apply

Nu\_pro  
Nu\_fer

	Agroforestry systems	Year	Nu_fer			Nu_pro			PNB		
			N	P	K	N	P	K	N	P	K
1	Macadamia-coffee-soybeans	2013	140	95	102	6 (±1.8)	0.5 (±0.1)	0.7 (±0.2)	0.04	0.01	0.01
		2014	93	41	93	12 (±1.8)	0.9 (±0.1)	1.4 (±0.2)	0.1	0.02	0.02
		2015	100	24	78	8 (±0.6)	0.6 (±0.04)	8.5 (±0.7)	0.1	0.03	0.1
		2016	100	24	78	9 (±0.4)	0.6 (±0.03)	9.8 (±0.4)	0.1	0.03	0.1
		2017	100	24	78	17 (±3.2)	1.2 (±0.2)	18 (±3.4)	0.2	0.1	0.2
		2018	100	24	78	18 (±5.2)	1.4 (±0.4)	19 (±5.4)	0.2	0.1	0.2

La Nguyen et al., in prep. Evaluation of agroforestry options NW Vietnam and their alternatives in relation to economic benefits and nutrient efficiency. ICRAF Vietnam Office.

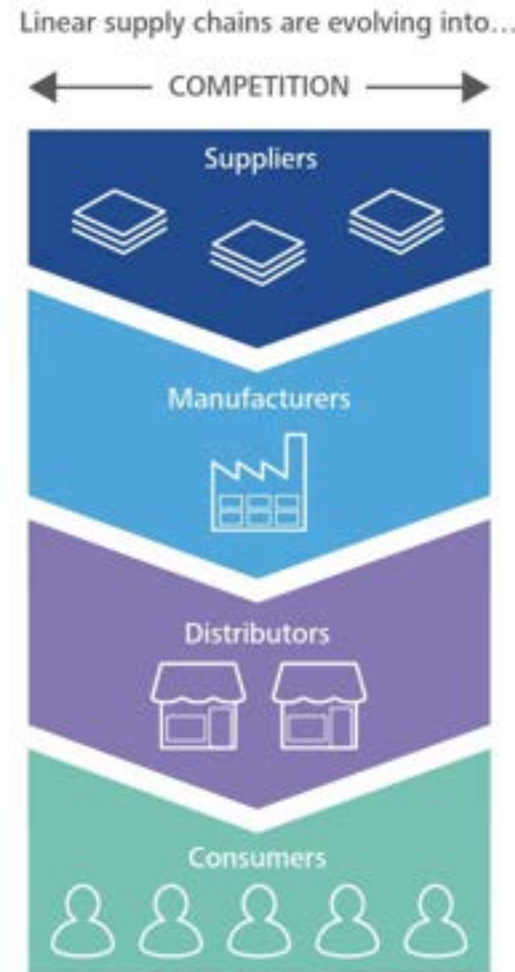
Not all agroforestry is consistent with agroecological principles and not all agroecology involves trees BUT trees can enhance agroecological transitions



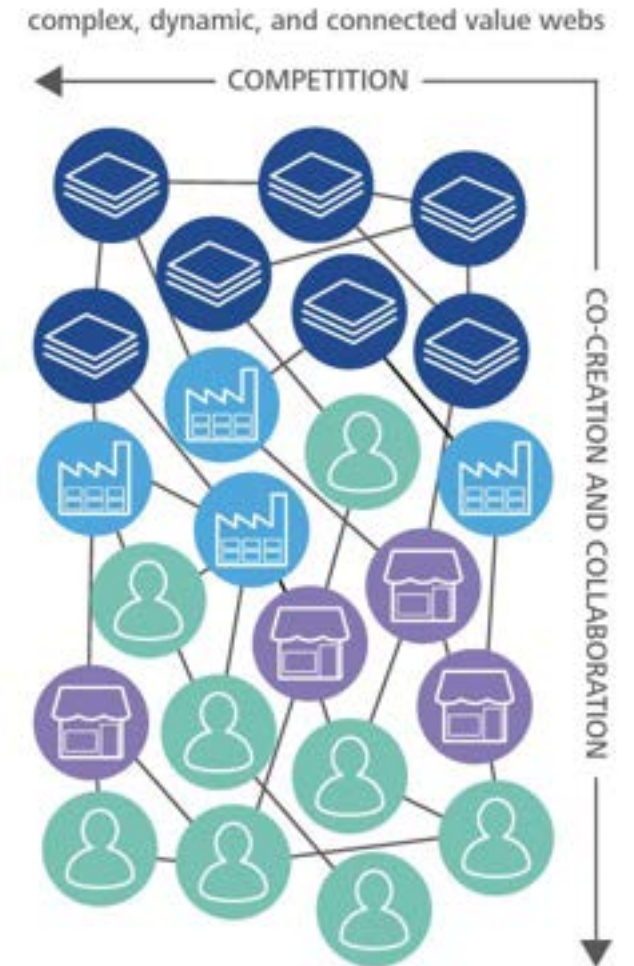
Isaac, ME, Sinclair, F, Laroche, G, Olivier, A and Thapa, A (2024) [The ties that bind: how trees can enhance agroecological transitions](#). Agroforestry Systems 98, 2369–2383.

# Step 3: Develop inclusive value networks with equitable agency for producers and consumers

Value chains evolve into **value networks**



Value is based on the production of goods and services



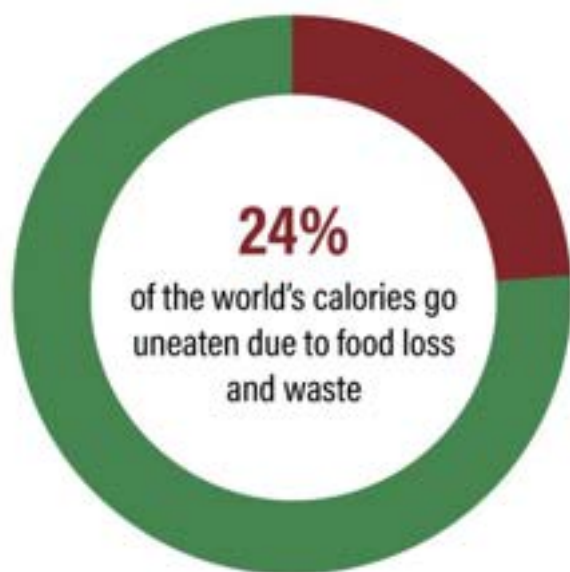
Value is based on knowledge exchange that drives proactive production of goods and services

Kelly E and Marchese K (2015). Supply chains and value webs. Deloitte University Press.

# Step 4: Reduce food loss and waste

## GLOBAL SCALE

Over 1 billion tonnes of food is lost or wasted each year



## GLOBAL IMPACT

Wastes 1/4 of fresh water used in agriculture



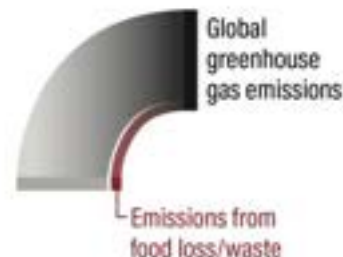
Wastes 1/4 of all fertilizer used in agriculture



Uses an amount of land greater than the area of China



Drives 8-10% of global greenhouse gas emissions



Source: WRI.

23.04.20



WORLD RESOURCES INSTITUTE

Reducing food loss and waste **before consumption** is vital alongside recycling waste **after consumption** – food regulations apply

Note: we can biologically fix N but need to return P and K to soil

Changing attitudes to food and how it is produced, processed and consumed is the cutting edge

Globally, **30% of food is lost or wasted**. Around **13% of food produced is lost between harvest and retail**, while an estimated **17% is wasted** in households, in the food service and in retail all together (FAO).



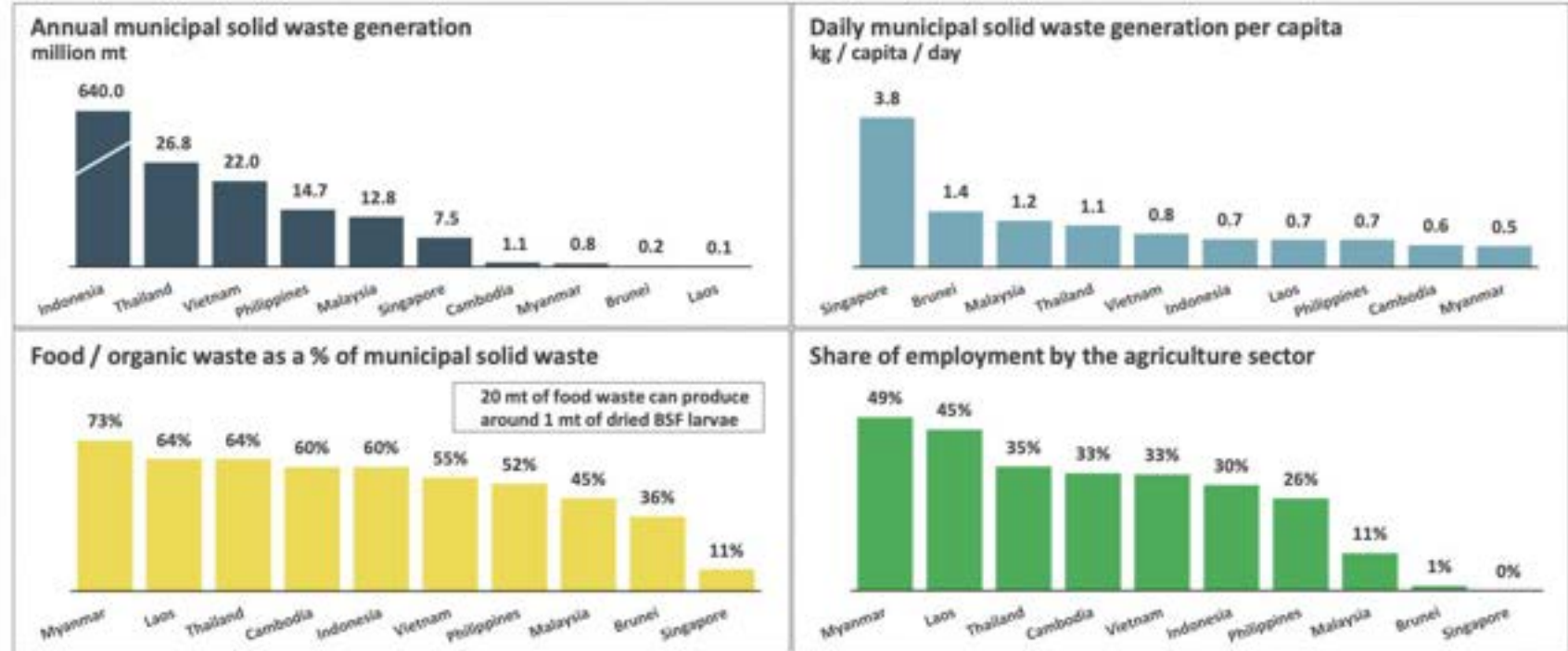
Black soldier fly larvae can efficiently convert organic waste, including food scraps and manure, into high-quality protein and fertilizer. The cultivation of BSF significantly reduces waste disposal impacts, **creates jobs**, enhances food security through animal feed production and organic fertilizer availability. **The global commercial market for BSF products is expected to grow from \$200 million in 2022 to \$1.5 billion in 2030**



# Recycling and more circular economies

feeds back to value networks through encouraging business development to recycle waste

## There is an abundance of unused resources and sizable agricultural markets for BSF products <sup>1,2</sup>



Sources: (1) UN Environmental Programme (2022); (2) ASEAN Stats; Seneca Impact Advisory

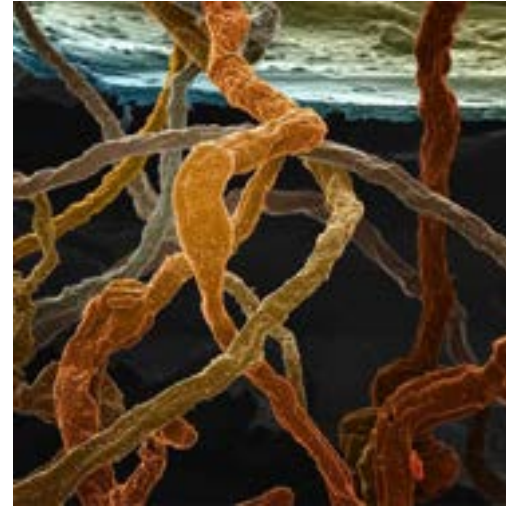
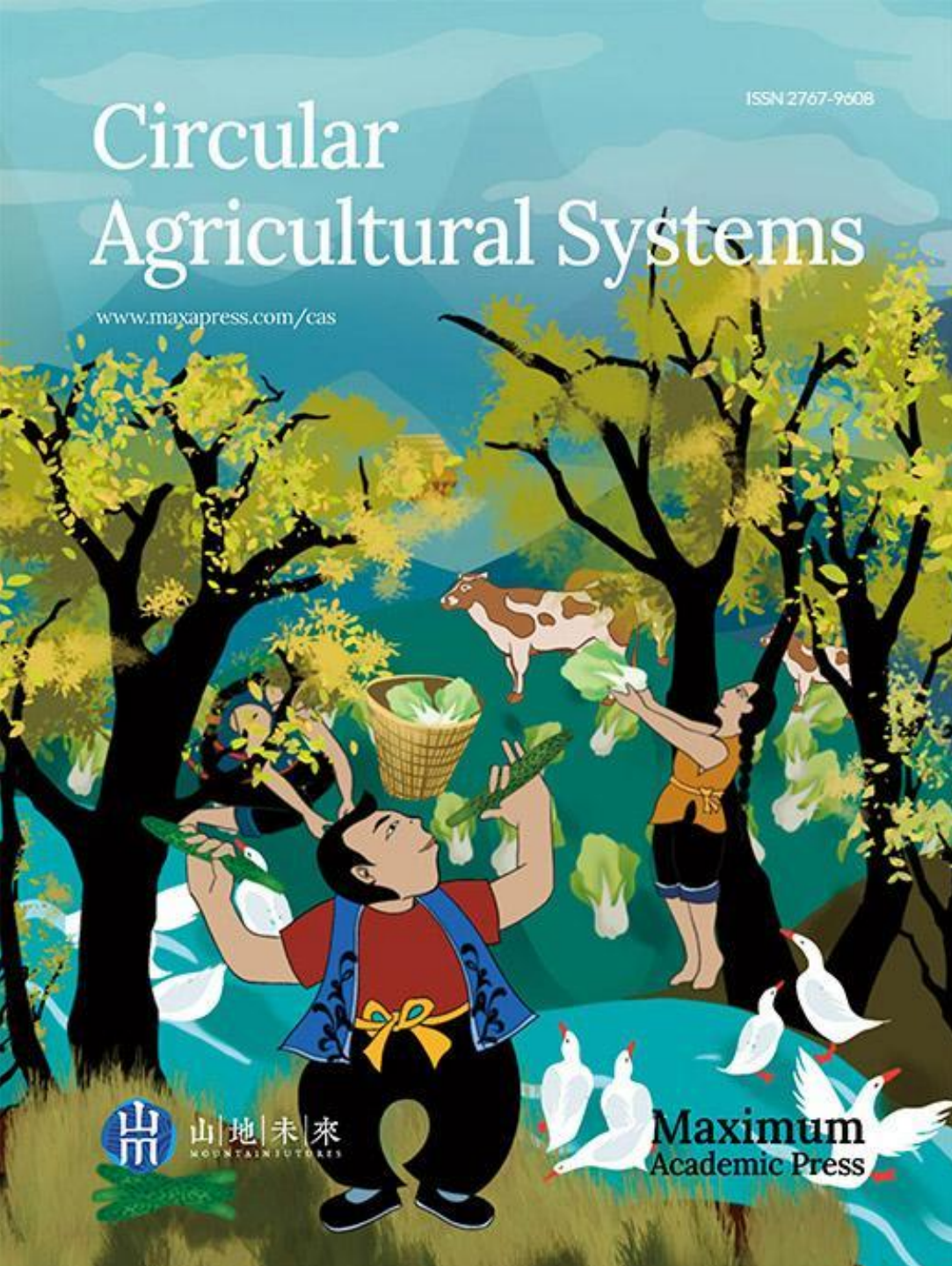
<https://senecaimpact.earth/wp-content/uploads/2023/12/The-Black-Soldier-Fly-in-Southeast-Asia-From-Food-Waste-to-Bankable-Opportunities.pdf>



# Circular Agricultural Systems

ISSN 2767-9608

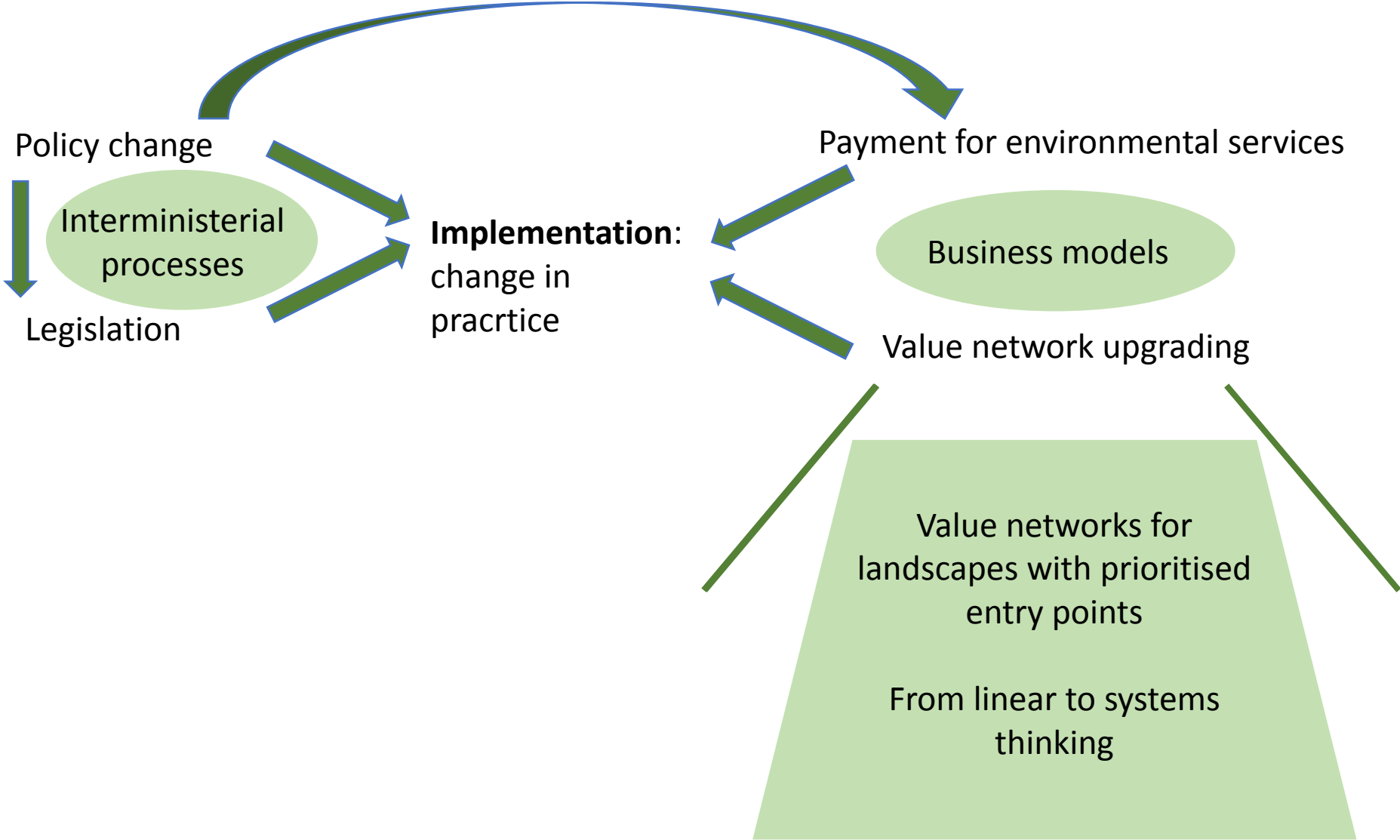
[www.maxapress.com/cas](http://www.maxapress.com/cas)



The fungus *Trichoderma reesei*, rapidly converts biomass to fuels. The fungus is known for its profuse production of biomass-degrading enzymes, which enhance the conversion process.

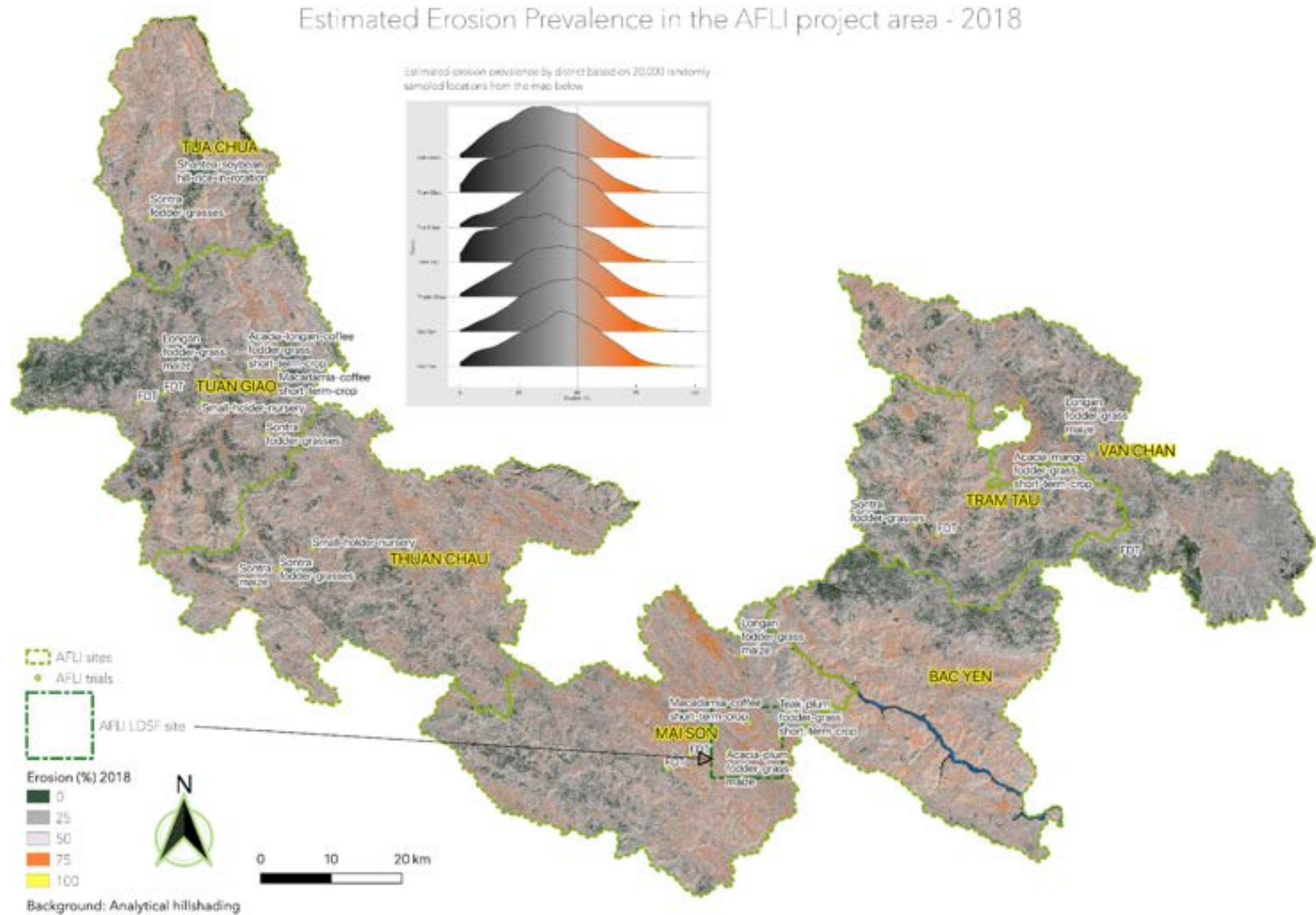
Filamentous fungi (mold) reduce solid waste (feaces) while converting it into a consumable, high protein food product.

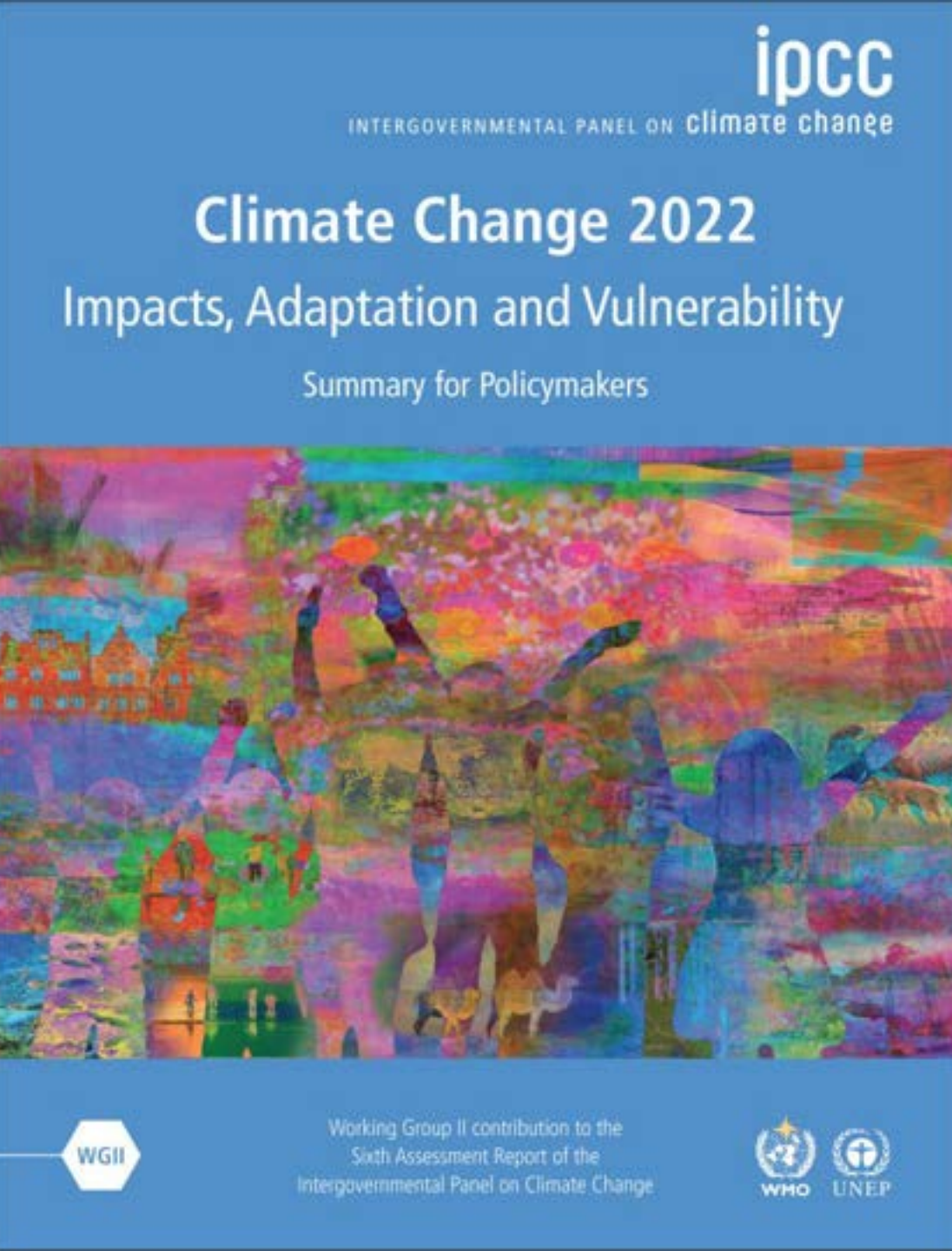
# Step 5: Facilitate participatory landscape / community governance across sectors and scales





Monitoring of  
ecosystem services  
through time and the  
effectiveness of  
interventions using  
satellite image analysis  
– adaptive  
management





## Future Adaptation Options and their Feasibility - SPM.C.2.2

**Agroecological principles** and practices and other approaches that work with natural processes **support food security, nutrition, health and well-being, livelihoods and biodiversity, sustainability and ecosystem services** (high confidence).

These services include pest control, pollination, buffering of temperature extremes, and carbon sequestration and storage (high confidence).

Their potential effectiveness varies by socio-economic context, ecosystem zone, species combinations and institutional support (medium confidence).

Integrated, multi-sectoral solutions that address social inequities and differentiate responses based on climate risk and local situation will enhance food security and nutrition (high confidence).

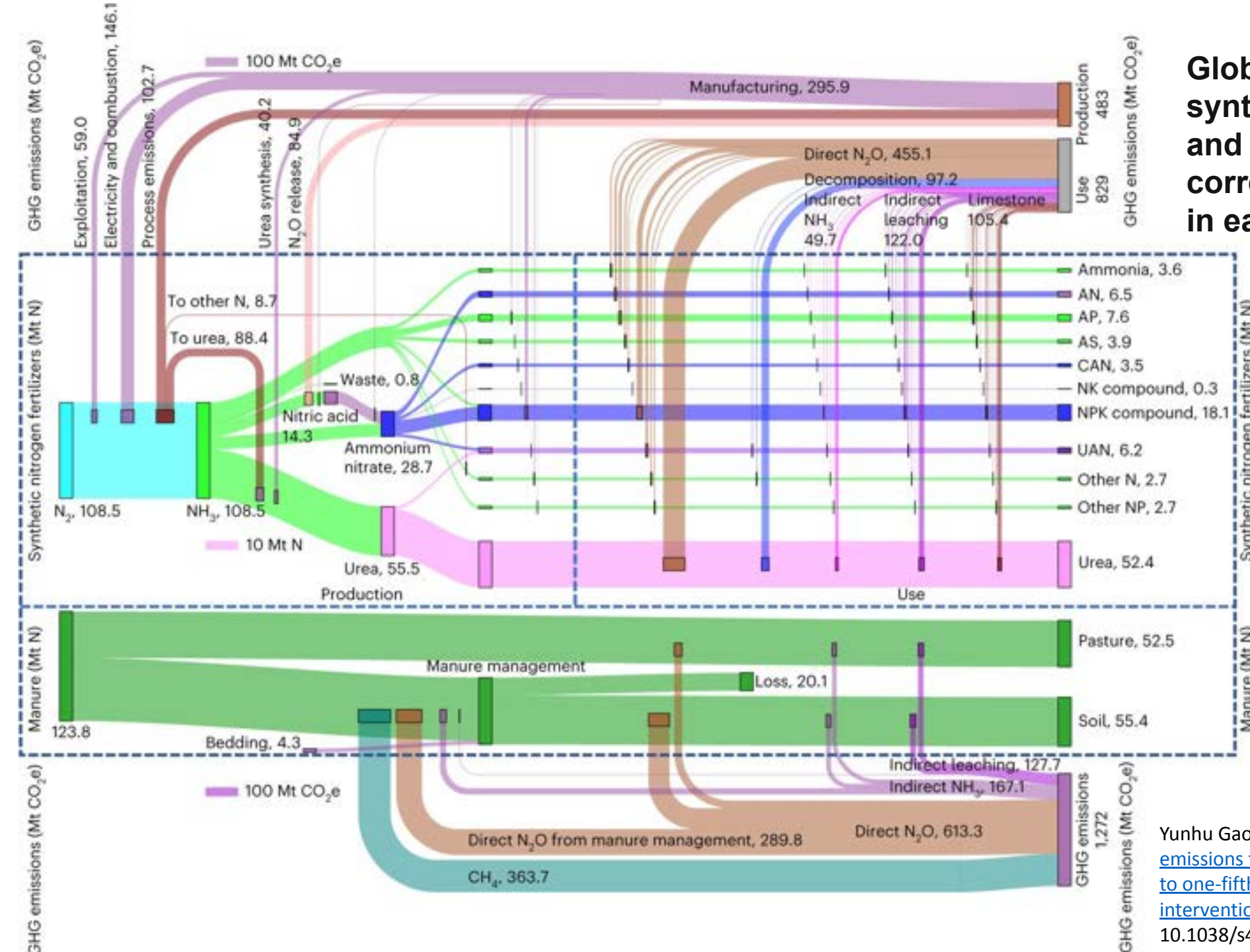
[https://report.ipcc.ch/ar6wg2/pdf/IPCC\\_AR6\\_WGII\\_SummaryForPolicymakers.pdf](https://report.ipcc.ch/ar6wg2/pdf/IPCC_AR6_WGII_SummaryForPolicymakers.pdf)



## Global mass flow of synthesized nitrogen fertilizers and manure and corresponding GHG emissions in each life-cycle stage in 2019



Synthetic N fertiliser accounts for as much GHG emissions as the aviation industry, and represents 6.2% - 7.5% of all emissions from food systems that are about a third of global emissions



Yunhu Gao and André Cabrera Serrenho. '[Greenhouse gas emissions from nitrogen fertilisers could be reduced by up to one-fifth of current levels by 2050 with combined interventions.](#)' *Nature Food* (2023). DOI: 10.1038/s43016-023-00698-w



Kunming - Montreal

# GLOBAL BIODIVERSITY FRAMEWORK

GBF HOME // TARGET 10

## Target 10

### Enhance Biodiversity and Sustainability in Agriculture, Aquaculture, Fisheries, and Forestry

*Ensure that areas under agriculture, aquaculture, fisheries and forestry are managed sustainably, in particular through the sustainable use of biodiversity, including through a substantial increase of the application of biodiversity friendly practices, such as sustainable intensification, agroecological and other innovative approaches contributing to the resilience and long-term efficiency and productivity of these production systems and to food security, conserving and restoring biodiversity and maintaining nature's contributions to people, including ecosystem functions and services .*

Following are the guidance notes prepared by the Secretariat for Target 10

A. Why is this target important?



GBF HOME

INTRODUCTORY SECTIONS OF THE GBF

2050 VISION AND 2030 MISSION

2050 GOALS

2030 TARGETS (WITH GUIDANCE NOTES)

IMPLEMENTATION AND SUPPORT MECHANISMS

RESPONSIBILITY AND TRANSPARENCY

COMMUNICATION, EDUCATION, AWARENESS AND UPTAKE

RELATED DECISIONS

BRANDING TOOLKIT



# Nitrogen pollution harms the environment and human health

## Climate change and the ozone layer

- Nitrous oxide is 300 times more potent than methane and carbon dioxide.
- It is the biggest human-made threat to the ozone layer
- With an atmospheric lifetime of 200 years, it poses a long-term threat

## Biodiversity and ecosystems

- N pollution is the third biggest driver of biodiversity loss on the planet after habitat destruction and greenhouse gas emissions
- It causes inadvertent fertilization of nitrogen tolerant species that outcompete more sensitive wild plants and fungi
- Nitrogen pollution creates “dead zones” in the ocean and causes toxic algal blooms in marine ecosystems.

## Air

- Nitrogen oxides lead to smog and ground-level ozone
- 77% of people breathe dangerous annual average concentrations of nitrogen dioxide
- Agricultural ammonia emissions combined with pollution from vehicle exhausts create extremely dangerous particulates in the air, which can exacerbate respiratory diseases

Crops do not take up all the N supplied as fertiliser. Each year, 200 million tonnes of reactive nitrogen is lost to the environment, leaching into soil, rivers and lakes and emitted to the air



[Source: Facts about Nitrogen Pollution, UNEP](#)



Plastics coating fertiliser for slow release, persist in soil, and now getting into human food chain causing health problems including erectile dysfunction



# GLOBAL LAND OUTLOOK

Second Edition

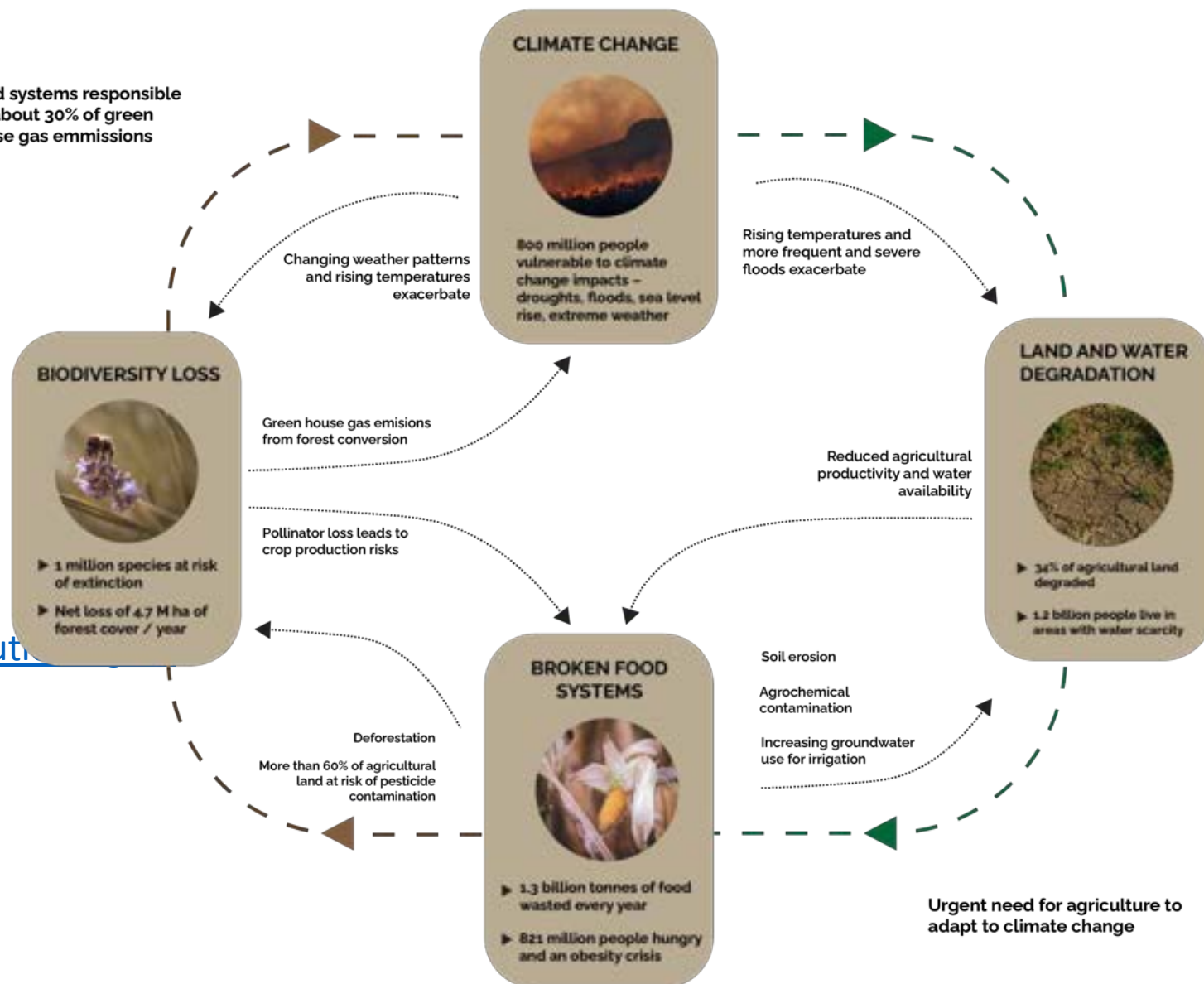
<https://www.unccd.int/resources/global-land-outlook>

Land Restoration for  
Recovery and Resilience

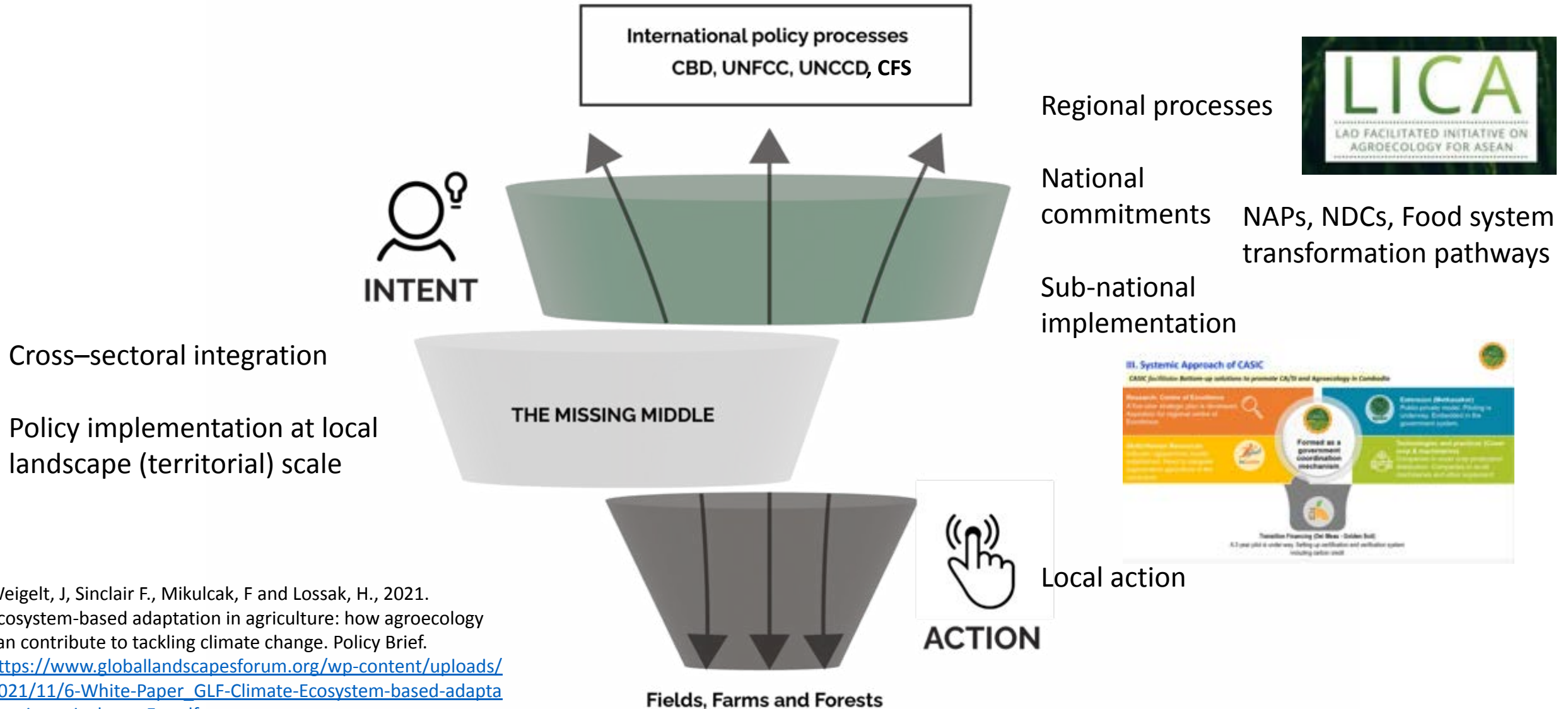


United Nations  
Convention to Combat  
Desertification

Food systems responsible  
for about 30% of green  
house gas emissions



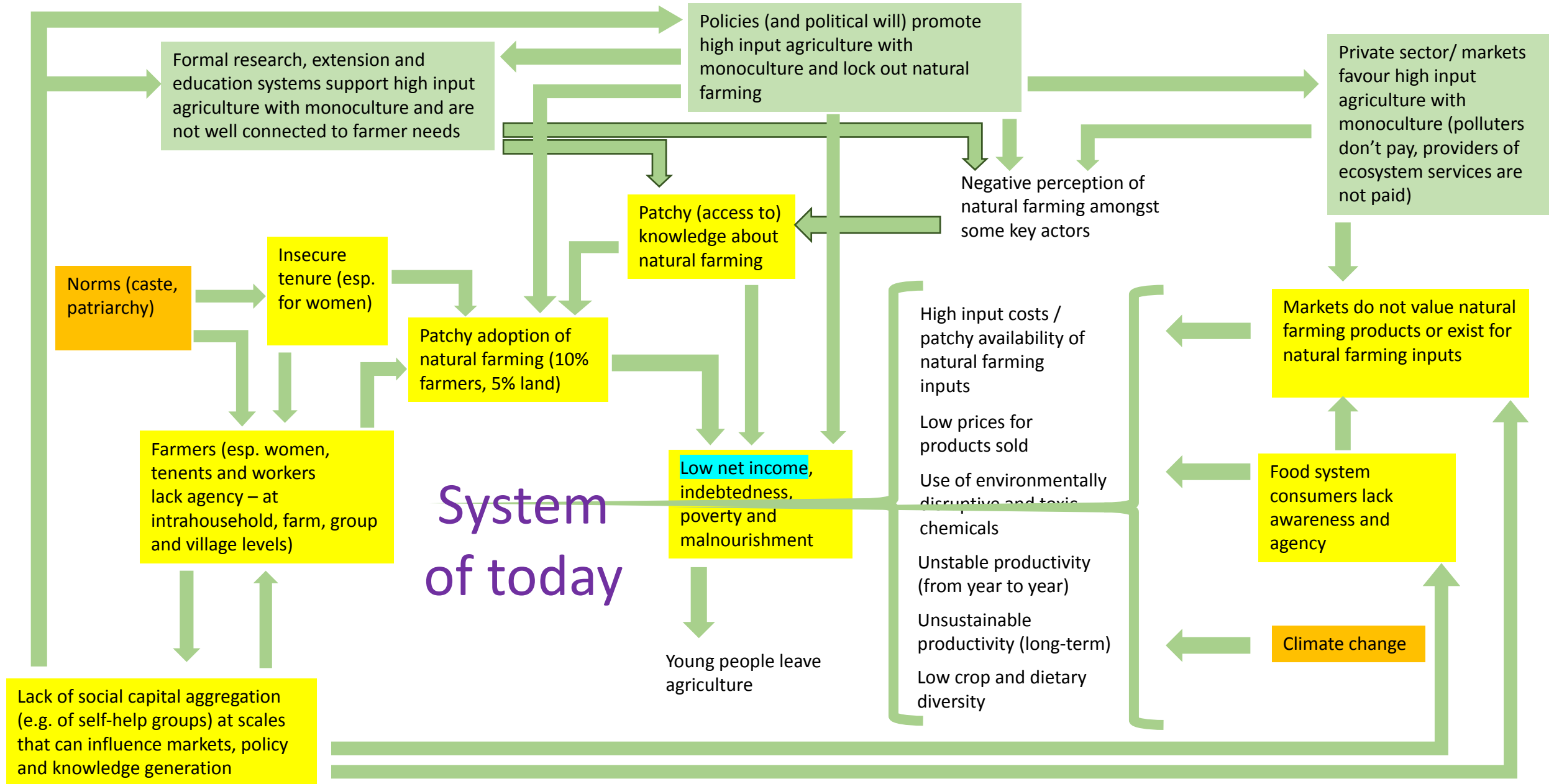
# The missing middle: a generic implementation challenge in food system transformation



Weigelt, J, Sinclair F., Mikulcak, F and Lossak, H., 2021.

Ecosystem-based adaptation in agriculture: how agroecology can contribute to tackling climate change. Policy Brief.

[https://www.globallandscapesforum.org/wp-content/uploads/2021/11/6-White-Paper\\_GLF-Climate-Ecosystem-based-adaptation-in-agriculture\\_En.pdf](https://www.globallandscapesforum.org/wp-content/uploads/2021/11/6-White-Paper_GLF-Climate-Ecosystem-based-adaptation-in-agriculture_En.pdf)



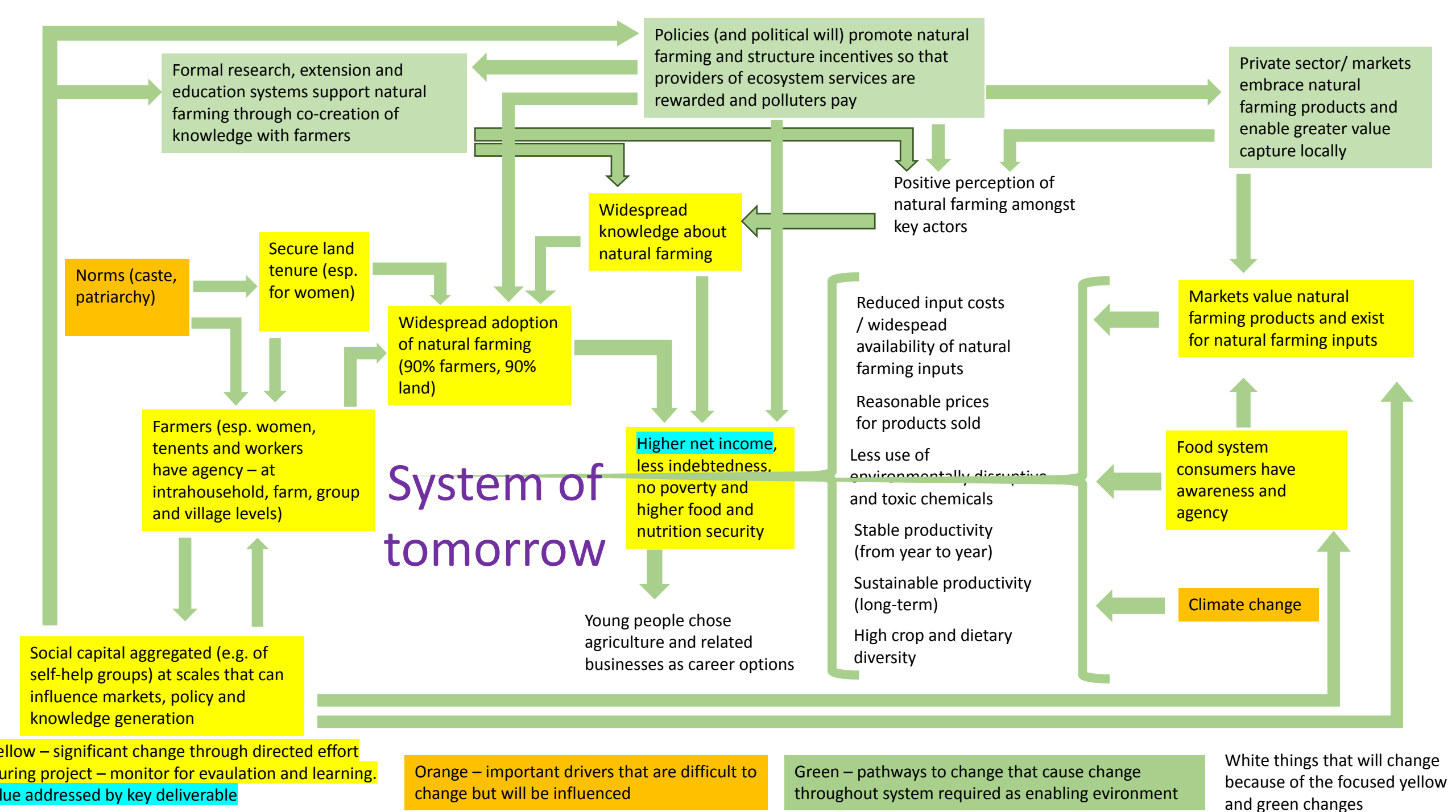
Yellow – directly influenced by program activity  
Blue - key measurable indicator

Orange – important drivers that determine system and are difficult to change

Green – groups of actors in key partner organisations that form the (dis)enabling environment

White factors - behaviours that are consequences of other items







# Transformative Partnership Platform on agroecological approaches to building resilience of livelihoods and landscapes

<https://www.agroecologytpp.org/>

